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STATEMENT UNDE	R 37 CFR 3.73(b)
Applicant/Patent Owner: Apple Inc.	
Application No./Patent No.: 09/677,274	
Titled: AAA BROKER SPECIFICATION AND PROTOCOL	
Apple Inc. , a Corpor (Name of Assignee) (Type o	ation  f Assignee. e.g., corporation, partnership, university, government agency, etc.
states that it is:	
1. X the assignee of the entire right, title, and interest in;	
2. an assignee of less than the entire right, title, and interest (The extent (by percentage) of its ownership interest is	
3. the assignee of an undivided interest in the entirety of (a c	complete assignment from one of the joint inventors was made)
the patent application/patent identified above, by virtue of either:	
	on/patent identified above. The assignment was recorded in, Frame, or for which a
C	on/patent identified above, to the current assignee as follows:
	seebel To: NORTEL NETWORKS LIMITED
The document was recorded in the United State	es Patent and Trademark Office at
Reel 0011374 , Frame 0917	or for which a copy thereof is attached.
2. From: NORTEL NETWORKS LIMITED	To: ROCKSTAR BIDCO, LP
The document was recorded in the United State	
Reel <u>27143</u> , Frame <u>0717</u>	or for which a copy thereof is attached.
3. From: ROCKSTAR BIDCO, LP	To: APPLE INC.
The document was recorded in the United State	s Patent and Trademark Office at
Reel, Frame	, or for which a copy thereof is attached.
Additional documents in the chain of title are listed on a s	supplemental sheet(s).
As required by 37 CFR 3.73(b)(1)(i), the documentary evidence or concurrently is being, submitted for recordation pursuant to	ce of the chain of title from the original owner to the assignee was, 37 CFR 3.11.
[NOTE: A separate copy (i.e., a true copy of the original assig accordance with 37 CFR Part 3, to record the assignment in the	nment document(s)) must be submitted to Assignment Division in e records of the USPTO. <u>See</u> MPEP 302.08]
The undersigned (whose title is supplied below) is authorized to act o	n behalf of the assignee.
/Jeffrey C. Hood/	7/27/2012
Signature	Date
Jeffrey C. Hood, Reg. No. 35,198	Attorney for Assignee
Printed or Typed Name	Title

This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

#### PATENT ASSIGNMENT

This PATENT ASSIGNMENT ("Assignment") dated as of May 11, 2012 (the "Effective Date") by and between:

- (i) Rockstar Bidco, LP, a limited partnership organized under the laws of Delaware ("Assignor"), the address of which is Rockstar Bidco, LP, c/o Paul, Weiss, Rifkind, Wharton & Garrison LLP, 1285 Avenue of the Americas, New York, New York 10019-6064 USA, ATTN: Marilyn Sobel; and
- (ii) Apple Inc., a corporation organized under the laws of California ("<u>Assignee</u>"), the address of which is One Infinite Loop, Cupertino, California 95014 USA.

#### WITNESSETH:

WHEREAS, Assignor agreed to transfer certain assets of Assignor, including, without limitation, the patents, patent applications and provisional patent applications identified and set forth below; and

WHEREAS, Assignor now wishes to assign to Assignee, and Assignee wishes to acquire from Assignor, all of Assignor's right, title and interest in and to the Assigned Patents (as defined below.

NOW, THEREFORE, in consideration of the foregoing and the mutual promises and agreements contained in this Assignment, and for other good and valuable consideration the receipt and sufficiency of which are hereby acknowledged, the parties hereby agree as follows:

- 1. <u>Assignment.</u> Assignor hereby assigns to Assignee, and Assignee hereby accepts and acquires from Assignor, all of Assignor's right, title, and interest throughout the world (under any and all laws and in any and all jurisdictions) in and to the following (collectively, the "<u>Assigned Patent Assets</u>"):
  - (i) All of the patents, patent applications and provisional patent applications set forth on Schedule A attached hereto (collectively, the "Assigned Patents"):
  - (ii) all divisionals, continuations, continuations in part, substitutes, extensions, renewals, reissues, reexaminations, other applications and related cases (in each instance, whether pending, issued, abandoned or filed in the future) that have been or shall be filed anywhere in the world and that are based upon any of the Assigned Patents (all of the foregoing, collectively, "Related Cases");
  - (iii) any inventions and improvements claimed or disclosed in any of the Assigned Patents or Related Cases, and any and all letters patent, certificates of invention, design registrations and utility models which may be granted therefor; and

(iv) all causes of action, enforcement rights, infringement claims and other rights (including all rights to pursue damages, injunctive relief and other remedies for past, present and future infringement) based upon, arising out of or relating to any of the Assigned Patents or Related Cases.

Pursuant to the foregoing assignment, each of the Assigned Patent Assets shall hereafter be for Assignee's own use and enjoyment, and for the use and enjoyment of Assignee's successors and assigns, as fully and entirely as the same would have been held and enjoyed by Assignor if this Assignment had not been made. The assignment pursuant to this Section 1 includes, without limitation (A) the right, if any, to register or apply in all countries and regions in the Assignee's name for patents, utility models, design registrations and like rights of exclusion and for inventors' certificates for said inventions and improvements; (B) the right to apply for, prosecute, maintain and defend the Assigned Patent Assets (including the right to continue any such action underway and to revive any such action previously abandoned) before any public or private agency, office or registrar including by filing reissues, reexaminations, divisions, continuations, continuations-in-part, substitutes, extensions and all other applications and post issue proceedings included in the Assigned Patent Assets; (C) the right, if any, to claim priority based on the filing dates of any of the Assigned Patents or Related Cases under the International Convention for the Protection of Industrial Property, the Patent Cooperation Treaty, the European Patent Convention, the Paris Convention, and all other treaties of like purposes; and (D) the right to sue and recover damages or other compensation for past, present or future infringements thereof, the right to sue and obtain equitable relief, including injunctive relief, in respect of such infringements, and the right to fully and entirely stand in the place of the Assignor in all matters related to the Assigned Patent Assets.

- 2. <u>Authorization</u>. Assignor also hereby expressly authorizes the respective patent office or governmental agency in each and every jurisdiction worldwide (including the Commissioner of Patents and Trademarks in the United States Patent and Trademark Office, and the corresponding entities or agencies in any applicable foreign countries or multinational authorities) to do the following: (a) to issue any and all patents or certificates of invention or equivalent which may be granted upon any of the Assigned Patent Rights in the name of Assignee, as the assignee to the entire interest therein; and (b) to record Assignee as the assignee and owner of the Assigned Patents and to deliver to Assignee, and to Assignee's attorneys, agents, successors or assigns, all official documents and communications as may be warranted by this Assignment.
- 3. <u>Recordation</u>. Assignee shall be solely responsible for all actions and all costs whatsoever, including but not limited to taxes, attorneys' fees and patent office fees in any jurisdiction, associated with the perfection of Assignee's right, title, and interest in and to the Assigned Patents and recordation and/or registration of this Assignment or any other document evidencing the assignment to Assignee of the Assigned Patents.
- 4. <u>Disclaimer</u>. There are no warranties, representations or conditions, express or implied, statutory or otherwise between the Parties under this Assignment. ASSIGNEE ACKNOWLEDGES THAT THE ASSIGNED PATENTS ARE CONVEYED WITHOUT ANY REPRESENTATION, WARRANTY OR GUARANTY, INCLUDING WITHOUT LIMITATION AS TO THE CONDITION OF TITLE, ENFORCEABILITY, SUITABILITY OR FITNESS FOR ANY PARTICULAR PURPOSE, MERCHANTABILITY, VALIDITY, REGISTRABILITY OR ANY OTHER WARRANTY, WHETHER EXPRESS OR IMPLIED OR BY OPERATION OF LAW, BY ANY PERSON, INCLUDING WITHOUT LIMITATION BY ASSIGNOR, OR ANY OF

ITS OFFICERS, DIRECTORS, EMPLOYEES, ACCOUNTANTS, FINANCIAL, LEGAL OR OTHER REPRESENTATIVES OR ANY AFFILIATE OF SUCH PERSON.

- 5. Governing Law. This Assignment shall be governed by the laws of the State of Delaware. Each of the parties hereto hereby irrevocably agrees that any legal suit, action or proceeding arising out of or based upon this Assignment shall be brought exclusively in the state or federal courts located in the Southern District of New York and waives, any objection may now or hereafter have to the laying of venue of any such proceeding, and irrevocably submits to the exclusive jurisdiction of any such court in any such suit, action or proceeding.
- 6. General Provisions. This Assignment may be executed in any number of counterparts, each of which shall be deemed to be an original, and all of which together shall constitute one and the same instrument. Delivery of an executed counterpart of a signature page to this Assignment by facsimile or electronic mail shall be as effective as delivery of a manually executed counterpart of this Assignment. This Assignment may not be supplemented, altered, or modified in any manner except by a writing signed by all parties hereto. The failure of any party to enforce any terms or provisions of this Assignment shall not waive any of its rights under such terms or provisions. This Assignment is binding upon and inures to the benefit of the parties hereto and their respective successors and assigns.

[Remainder of this page intentionally left blank]

IN WITNESS WHEREOF, Assignor and Assignee have caused this instrument to be executed by their respective duly authorized representative effective as of the Effective Date.

### ROCKSTAR BIDCO, LP

By Rockstar Bidco GP, LLC, its General Partner

Name: Toshi Moto llitono Title: Manceges

STATE OF New YORK COUNTY OF New YORK

88.

Rockstar Bidco, LP), executed such instrument in such capacity, and acknowledged to me that the execution and delivery of said instrument was duly authorized by said company.

Notary Public (Affix Seal Below)

ANNA ASHVILLE
Notary Public, State of New York
No. 01AS6226520
Qualified in New York County
Commission Expires August 9, 2014

APPLE INC.

Ву

Name: BJ Watrous

Title: Vice President & Chief IP Counsel,

**Assistant Secretary** 

# Schedule A

## LIST OF ASSIGNED PATENTS

App Filed Date	App Filed Country Code	App Serial Number	App Patent Number	Disc Number
11-Jan-00	BR	P10007468-3	#EMPTY	10104RO
11-Jan-00	CA	2,360,340	2,360,340	10104RO
11-Jan-00	CN CN	804027.3	ZL.00804027.3	10104RO
11-Jan-00	JP	2000-594200	4511050	10104RO
11-Jan-00	MX	PA/A/2001/007021	234187	10104RO
4-Mar-99	US	09/263,431	6,442,728	10104RO
11-Jan-00	DE	900090.2	60016561.2	10104RO
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19-Nov-09	JP	2009-264021	#EMPTY	10104RO
11-Jan-00		2101552.6	HK1040012	10104RO
23-Feb-06	CN	200610055037.X	ZL200610055037	10104RO
8-Mar-07	HK	7102555.6	HK1095673	10104RO
15-Apr-99	US	09/292,377	6,023,122	10121RO
7-Mar-00	US	09/520,469	6,472,959	10121RO 10148RO
31-Jul-99	US	09/365,262	6,424,834	10156RR
31-Jul-99	US	09/364,985	6,621,811	10185RR
15-Dec-99	US	09/464,667	6,628,637	10185RR
30-Sep-99	US	09/409,986	6,885,868	10217RO
1-Jun-99	US	09/323,012	6,385,752	10295RO
20-Apr-99	US	09/323,012	6,218,902	10328RO
10-Jan-01	US	09/756,704	6,275,110	10328RO
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29-Mar-00	CN	806181.5	ZL00806181.5	10451RO
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4-Aug-99	US	09/366,849	6,898,419	10531RC
30-Apr-01	US	09/845,620	<b>ĸĸĸĸĠĸĸĸĸĸĸĸĸĸĸĸĸĸĸĸĸĸĸĸĸĸĸĸĸĸĸĸĸĸĸĸĸ</b>	10626RR
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30-Nov-99	US	09/450,783	6,337,658	10696RO
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13-Mar-00	US	09/523,697	6,687,227	11067RO

App Filed Date	App Filed Country Code	App Serial Number	App Patent Number	Disc Number
2-Mar-00	US	09/517,381	6,256,486	11160RR
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18-Jan-01	JP	2001-553706	4884631	11969RO
3-Nov-03	HK	3107920.7	HK1055860	11969RO

App Filed Date	App Filed Country Code	App Serial Number	App Patent Number	Disc Number
29-Dec-08	US	12/344,933	#EMPTY	11969RO
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4-Dec-01	US	10/011,602	7,110,375	12062RR
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30-Mar-00	US	09/539,204	***************************************	12256RO
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29-Nov-01	EP	1270924.2	#EMPTY	***
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1-Nov-00	US	09/702,768	7,113,538	12274RO
27-Sep-00	US	09/670,342	6,701,129	12280RO
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28-Dec-00	US	09/749,738	#EMPTY	12321RO
17-Nov-00	US	09/715,787	7,586,949	12383RR
21-Aug-09	US	12/545,503	#EMPTY	12383RR
5-Oct-00	US	09/679,711	#EMPTY	12387ID
9-Nov-98	US	09/486,922	6,490,442	12392FR
7-Oct-91	FR	9112312	2682246	12394FR
5-Oct-92	DE	92402708.9	69210761.4	12394FR
5-Oct-92	GB	92402708.9	0 541 410	12394FR
12-Apr-01	US	09/834,104	6,907,020	12441RO
9-May-05	US	11/124,750	7,596,123	12441RO
2-Sep-99	US	09/763,934	6,850,562	12443FR
17-May-01	US	09/858,475	7,158,497	12450RO
16-Aug-01	DE	1964786.6	60141076.9	12450RO
16-Aug-01	FR	1964786.6	1 316 174	12450RO
16-Aug-01	GB	1964786.6	1 316 174	12450RO
13-Apr-01	US	09/835,102	6,917,603	12455RO
31-Mar-92	FR	9203883	2689346	12462FR
30-Mar-93	US	08/039,729	5,398,247	12462FR
13-Apr-01	US	09/835,035	6,799,039	12472RM
30-Jun-04	US	10/881,734	#EMPTY	12472RM
17-Apr-01	US	09/836,488	6,931,569	12473RM
17-Apr-01	CN	1811337	ZL01811337.0	12473RM
	EP	1921706.6	1 275 216	12473RM
17-Apr-01	~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~	IN/PCT/2002/01372/MU	209046	12473RM
17-Apr-01	IN.		92023	12473RM
17-Apr-01	SG	200205789-1	<del>~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~</del>	
3-Apr-03	HK	3102400.7	1050284	12473RM
17-Apr-01	EP	10182882	#EMPTY 7,484,120	12473RM 12473RM

App Filed Date	App Filed Country Code	App Serial Number	App Patent Number	Disc Number
17-Mar-06	IN	304/MUMNP/2006	225632	12473RM
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14-Sep-09	US	61/242,226	#EMPTY	19944RR

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14-Sep-10	WO	PCT/US2010/048710	#EMPTY	19944RR
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10-Apr-98	US	09/402,955	6,904,107	FR0080
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24-Apr-97	US	08/847,528	5,972,568	MO0194

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22-Jan-96	US	08/589,573	5,734,984	RO2820
22-Jun-94	KR	702889-96	175522	RO2831
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2-Dec-93	US	08/160,628	5,365,138	RO2831
7-Jun-95	JP	8-501418-96	2981519	RO2900
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21-Nov-95	US	08/561,687	5,809,414	RO2993
6-Jun-96	US	08/659,455	5,835,990	RO3000
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14-Mar-96	US	08/615,908	5,729,604	RO3044
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23-Jul-96	US	08/681,473	5,832,046	RO3060
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24-Oct-97	<u>US</u>	08/956,097	6,087,653	RO3253
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23-Sep-98	US	09/158,818	6,144,738	RO3360
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15-May-97	US	08/856,701	5,896,071	RO3510
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9-Dec-97	US	08/987,766	6,108,325	RO3590
20-Jun-97	US	08/879,773	6,097,712	RO3672
24-Aug-98	US	09/138,965	6,463,047	RO3686
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23-Dec-98	US	09/219,458	6,360,078	RO3971
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9-Nov-99	JP	11-317693	4332270	RO4048
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22-Dec-98	US	09/218,411	6,847,632	RO4050
16-Oct-98	US	09/173,752	6,278,872	RO4088
29-May-98	US	09/086,347	5,977,867	RO4093
15-Apr-98	US	09/060,604	6,317,422	RO4100
13-Apr-98	US	09/078,499	6,070,083	RO4116
12-Nov-98	US	09/190,765	6,201,828	RO4211
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23-Dec-98	US	09/220,016	6,973,300	RO4364
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18-Jun-99	US	09/335,745	6,603,746	RO4418
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22-Jul-99	US	09/359,539	6,625,234	RO4461
9-Sep-01	HK	1107879	1038653	RO4461
5-Nov-99	US	09/434,399	6,218,908	RO4466
2-Aug-99	US	09/366,316	6,542,724	RO4467
16-Aug-99	US	09/374,000	6,704,300	RO4468
11-Jun-99	US	09/329,997	6,330,578	RO4477
21-Dec-99	US	09/466,124	7,486,628	RO4482
4-Aug-99	US	09/368,591	6,497,599	RO4503
22-Sep-99	US	09/401,856	6,633,564	RO4507
23-Dec-99	US	09/471,137	6,304,130	RO4512
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